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Numerical studies of density transition injection in laser wakefield acceleration

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are affected by the density transition parameters.

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(Some figures may appear in colour only in the online journal)

1. Introduction

Laser wakefield acceleration (LWFA) [1-3] of electron beams is one of the most promising physical mechanisms to overcome the accelerating gradient limitations of conventional accelerators. The electromagnetic fields in the wake of an intense laser pulse propagating in an underdense plasma can sustain magnitudes of hundreds of GV/m [4-7], allowing to produce femtoseconds-length [8] electron beams with GeV energy [9]. Currently, the quality of electron beams produced through LWFA has been proven to be suitable for radiography [10, 11], ultrafast electron diffraction [12] and assessed as potentially suitable for applications in radiotherapy [13, 14]. Applications of conventionally accelerated beams also include research tools with more strict requirements on the beam quality, such as free electron lasers (FELs) [15, 16], for which one needs to improve quality figures such as energy spread, emittance and divergence. The beam quality in LWFA

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is tightly related to the mechanism used to inject the electrons that are then accelerated. Such an issue motivates the interest in the study of various injection techniques [3] such as colliding pulse injection [17, 18], ionization injection [19–22], density tailoring injection schemes [23-27] or hybrid techniques [28] to obtain progressively improved beam qualities.

An easy-to-implement scheme to trigger electron injection in a laser wakefield accelerator consists of creating a sharp density transition in the propagation direction of the laser pulse in a gas jet [25, 26]. The resulting plasma density profile shows a rising ramp followed by a sharp downramp. After the downramp, the wakefield electron cavity, or 'bubble', behind the laser pulse increases its size, trapping some of the electrons from the density transition. Thaury et al [28] report electron beams of charge 1 pC produced through this technique, accelerated to energies about 100 MeV, with lowest energy spread 10 MeV on 10 consecutive shots; those beams were obtained with plasma density $3 \cdot 10^{18} \text{ cm}^{-3}$ at the density profile center, laser pulse with peak intensity in vacuum 5 \cdot 10¹⁸ W/cm², pulse length and transverse size near to those used for the simulations in this work. The final beam parameters can be tuned by changing the position, length and height of the density transition peak in the plasma [26]. This

Abstract

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Plasma Physics and Controlled Fusion https://doi.org/10.1088/1361-6587/aa717d injection scheme has been experimentally investigated [25, 26] and the effects of the transition downramp length in the density downramp injection scheme have been examined thoroughly in [27]. However, no systematic investigation is present in the literature on the effects of the downramp length and the transition height in a density profile with a spike-like profile density, in the literature called *shock-front* [26, 28]. Our results are consistent with those found in [27].

To further assess possibilities, limits and scaling laws of the density transition injection scheme, we present in this work the results of a series of simulations where the density transition characteristics are systematically changed and discuss how they influence the injected electron beam quality.

The article is organized as follows. In the second section we describe the parametric numerical study. In the third section we show the injected beam parameters, discussing their variation with the height and the length of the density transition downramp. In the fourth section additional considerations on the distribution in the transition downramp of the injected electrons are reported.

2. Parametric numerical study

To investigate the dependence on the density transition characteristics of the electron beams obtained through density transition injection, a parametric scan was performed with the particle in cell (PIC) code CALDER-CIRC [29]. The simulations were performed in quasi-cylindrical geometry, i.e. the electromagnetic fields are decomposed in azimuthal modes with respect to the laser propagation direction, while the simulated macroparticles move in the 3D Cartesian space [29]. The results reported in this work have been obtained retaining the first two azimuthal modes. We chose a mesh resolution $\Delta z = 0.2 \ c/\omega_0$ and $\Delta r = 0.9 \ c/\omega_0$ in the longitudinal and radial direction, respectively, with integration timestep $\Delta t = 0.18 \ \omega_0^{-1}$, where $\omega_0 = 2\pi c/\lambda$ is the laser central frequency. The results shown in the following have been obtained with 50 particles per mesh cell. We considered driver laser pulse parameters based on the Ti:Sa laser system of Salle Jaune at Laboratoire d'Optique Appliquée (LOA), i.e. wavelength $\lambda = 0.8 \ \mu m$, FWHM duration 28 fs. In the simulations the pulse, linearly polarized along the y direction, is focused to a waist size $w_0 = 12 \ \mu m$ at the entrance of an already ionized underdense plasma, whose longitudinal profile is depicted in figure 1. After a linear upramp of length $L_{\rm upramp} = 100 \ \mu m$ until the position $z_{\rm tp}$ of the density transition peak, the electron density n_e of the plasma drops linearly for a length L_{down} to the value of $n_0 = 3 \cdot 10^{18} \text{ cm}^{-3}$. The driver laser pulse is injected from $z = 0 \mu m$, directed towards the positive z direction. After the downramp, the density profile has a plateau of constant density n_0 . The density transition at the beginning of the plasma channel has a peak of electron density of value Kn_0 , where K is the ratio between the density transition peak density and the plateau density. The normalized potential of the laser pulse at the waist is $a_0 = 2.5$, corresponding to a total energy E = 0.9 J. The considered laser pulse parameters and plateau density yield a



Figure 1. Plasma density profile used in our study. The laser propagates in the positive *z* direction. The density transition peak is located at $z_{tp} = 105 \ \mu$ m. Our diagnostic point for the beam quality parameters corresponds to the time when the laser pulse reaches $z = 1 \ \text{mm}$.



Figure 2. Evolution of laser maximum vector potential a_0 during the propagation in the plasma, without a sharp density transition before the density plateau (K = 1).

ratio between the total pulse power and the critical power $P_c = 17.4(\lambda_p/\lambda)$ GW = 10^{-2} PW at waist for relativistic self-focusing [30] equal to 3, which causes a slight self-focusing during the propagation, as shown in figure 2. As the laser pulse passes through the density transition, electrons are injected before being accelerated in the plateau region. The injected electron beam is then accelerated along the plateau by the laser wakefield. For K = 1, i.e. in absence of a sharp density transition in the plasma profile before the plateau, the mentioned self-focusing of the laser (see figure 2) and the



Figure 3. Variation of the beam injected charge with the density transition height *K* and downramp length L_{down} . The reported energy is evaluated $\approx 900 \ \mu\text{m}$ after the density transition peak. Each point represents the result of one simulation.

consequent change in the size of the bubble do not trigger self-injection. Varying K and L_{down} within the intervals considered by our study, the laser propagation remains almost identical to the one showed in figure 2. The only difference between the various simulations corresponding to the considered density transition parameters is a slight variation of the peak a_0 during the propagation, with a maximum difference between the simulations smaller than 5%.

Our investigation included the systematic variation of the density transition height ratio, represented by K, and the density transition downramp length L_{down} within experimentally feasible intervals [26], i.e. K = [1.2, 1.5] and $L_{\text{down}} = [10, 50] \ \mu\text{m}.$

3. Electron beam quality

In this section, we report the results of 15 simulations, representing combinations of K = [1.2, 1.3, 1.5] and $L_{\text{down}} = [10, 20, 30, 40, 50] \ \mu\text{m}$. We did not observe any injected charge for K = 1.1. For each simulation, the parameters of the resulting beam are evaluated when the laser pulse arrives at z = 1 mm.

The total charge of the beam is reported in figure 3. Each point represents one of the 15 simulations. The different curves correspond to different density transition height ratios: red curve K = 1.2, green curve K = 1.3, blue curve K = 1.5. Higher density transitions result in a higher number of trapped electrons, longer density transitions instead yield a lower amount of trapped charge (this last trend was also found in [27]) for downramp injection. The total amount of injected electrons increases more quickly with K than with L_{down} . The reasons for these trends are multiple. First, an injection stage with high density contains more electrons available for injection. Second, the amount of injected charge is also related to the speed of bubble size expansion [31]. The bubble



Figure 4. Variation of the relative plasma wavelength expansion speed $\frac{d\lambda_p}{dz}|_{z\approx z_{\rm tp}}/\lambda_{p0}$ with the density transition height *K* and downramp length $L_{\rm down}$. The reported $\frac{d\lambda_p}{dz}/\lambda_{p0}$ is evaluated at the density transition peak position $z \approx z_{\rm tp}$. Each point represents the value computed for one simulation.

size scales as the plasma wavelength $\lambda_p(z) = 2\pi c \sqrt{\frac{m_e \varepsilon_0}{e^2 n_e(z)}}$, where m_e is the electron mass, ε_0 the vacuum permittivity, ethe electron charge, $n_e(z) = Kn_0 + n_0(1 - K)(z - z_{tp})/L_{down}$ the electron density at position z in the downramp; thus the relative bubble size increase rate scales as

$$\frac{d\lambda_p}{dz}/\lambda_{p0} = \frac{d}{dz} \left(\sqrt{\frac{1}{\tilde{n}_e}} \right) = -\frac{1}{2(\tilde{n}_e)^{3/2}} \frac{d\tilde{n}_e}{dz} = \frac{K-1}{2L_{\text{down}}} \frac{1}{(\tilde{n}_e)^{3/2}}$$
(1)

where $\lambda_{p0} = 2\pi c \sqrt{\frac{m_e \varepsilon_0}{e^2 n_0}}$ is the plasma wavelength in the plateau, $\tilde{n}_e = n_e/n_0$ the normalized electron density. Figure 4 shows the values of $\frac{d\lambda_p}{dz}|_{z\approx z_{\rm tp}}/\lambda_{p0}$ for each value of *K* and $L_{\rm down}$ of our simulations. Especially for $L_{\rm down} \ge 20 \ \mu m$, the bubble expansion rate increases more by increasing *K* than by decreasing $L_{\rm down}$. In this particular injection scheme, the bubble size expansion is equivalent to a decrease in the wake phase velocity, due to the inhomogeneity of the plasma density [23].

The duration of the resulting beam, reported in figure 5, shows variation trends analogous to those of the charge (see figure 3). The bunch length decreases with L_{down} and increases with K. From figure 5 it can also inferred that the bunch length is more sensitive to changes in K than to changes in L_{down} : fixing the density transition ratio to K = 1.2 the maximum variation is found, i.e. 35% passing from $L_{down} = 10 \ \mu m$ to $L_{down} = 50 \ \mu m$, with a rate approximately linear; instead, passing from K = 1.2 to K = 1.5 with the same $L_{down} = 10$, the electron beam becomes 80% longer. To qualitatively explain such behavior, properly chosen consecutive snapshots of the electron density during the injection process are reported in figures 6 and 7. The snapshots in figure 6 follow the injection in two simulations with K = 1.3 and two different values of L_{down} , i.e. 20 μm , and 50 μm .



Figure 5. Variation of the beam duration (evaluated as twice the rms length in time) with the density transition height K and downramp length $L_{\rm down}$. The reported duration is evaluated $\approx 900 \ \mu m$ after the density transition peak. Each point represents the result of one simulation.

With both values of L_{down} , since the density transition height is the same, the bubble initial size and position is the same when its tail is at $z \approx z_{tp} = 105 \ \mu m$ (or $z - ct \approx -20 \ \mu m$ in





Figure 6. Injection process with a density transition ratio K = 1.3, shown with snapshots of the electron density at time t = 0 (left panels), t = 63 fs (central panels), t = 1032 fs (right panels). The tail of the wake bubble is at the position of the density transition peak ($z_{tp} = 105$ μ m) at t = 0 fs. Top panels show a simulation with $L_{down} = 20 \mu$ m, while the bottom panels show a simulation with $L_{down} = 50 \mu$ m. The dashed black lines are drawn at a constant z - ct position, to help evaluating the longitudinal deformation of the bubble. The dashed red lines mark the end of the downramp.



Figure 7. Injection process with a shock ratio $L_{down} = 20 \ \mu m$, shown with snapshots of the electron density at time t = 0 (left panels), t = 63 fs (central panels), t = 1032 fs (right panels). The tail of the wake bubble is at the position of the density transition peak ($z_{tp} = 105 \ \mu m$) at t = 0 fs in the top panels, which show a simulation with K = 1.3; the bottom panels show a simulation with K = 1.5. The dashed black lines are drawn at a constant z - ct position, to help evaluating the longitudinal deformation of the bubble. The dashed green lines in the right panels are drawn to help evaluating the longitudinal bubble size after the end of injection. Both the dashed black and green lines have been prolonged to highlight the different positions of the wake bubble tails for different values of *K*. The dashed red lines mark the end of the downramp.

thus the tail of the beam, is located in a slightly more advanced position in the case with lower K, due to lower beamloading. These differences in the bubble size in the various stages of the injection process contribute to a difference in the final beam length.

For future applications as FEL radiation generation, also the transverse quality of injected beams is of paramount importance [15, 16]. In figure 8, the beam normalized emittances $\varepsilon_{n,i} = \sqrt{\sigma_i^2 \sigma_{p_i}^2 - \sigma_{i,p_i}^2}$ (σ_i, σ_{p_i} are respectively the standard deviation in transverse position and transverse momentum, σ_{i,p_i} is the correlation between transverse position and transverse momentum) in the planes i = x, y at the diagnostic point are reported. The emittance globally shows a symmetry between the transverse planes and a slight decrease with longer downramps. In all our simulations, the beam divergence in both directions is bound within 5 and 9 mrad, the transverse rms size $2\sigma_i$ does not exceed 1.5 μ m.

The mean energy of the beam, shown in figure 9, is higher with a lower density transition, e.g. K = 1.2, and increases with the downramp length. For all the considered density transition heights, keeping fixed K, the beam energy increases with the downramp length approximately by



Figure 8. Variation of the beam normalized emittance with the density transition height *K* and downramp length L_{down} . The reported emittance is evaluated $\approx 900 \ \mu \text{m}$ after the density transition peak. Each point represents the result of one simulation.



Figure 9. Variation of the beam energy with the density transition height *K* and downramp length L_{down} . The reported energy is evaluated $\approx 900 \ \mu\text{m}$ after the density transition peak. Each point represents the result of one simulation.

10 MeV for each increase of 10 μ m in L_{down} . One reason of this behavior is related to the injection process: when electrons start to be trapped, they are located at a certain distance from the laser pulse, in a phase of the wake where the accelerating field is high; after the bubble has reached its final size, the same distance from the laser pulse corresponds to a phase with lower accelerating field. The electrons injected at a later stage instead are located in a phase that has already reached a steady-state configuration with a high accelerating field. Longer beams tend thus to have a lower mean energy due to the lower energy of the early-injected electrons. In addiction, beam loading strongly deforms the accelerating field to which the trapped electrons are subject, influencing them for all the accelerating stage. To highlight the beam loading effect on the accelerating field, figures 10 and 11 report the longitudinal electric field on the propagation axis when the laser pulse is at position $z \approx 260 \ \mu m$. In figure 10, the longitudinal electric field is reported for different values of the density transition height keeping fixed the downramp length to $L_{\rm down} = 30 \ \mu m$, showing that with increasing values of K, due to a higher charge (see figure 3), the waveform is much more deformed and the accelerating field experienced by the beam is lower. Instead, keeping fixed K, longer ramps yield a lower injected charge and thus a less-pronounced beam loading, as shown in figure 11 for K = 1.3. Consistently with these two factors affecting the beam energy, a negative correlation can be found between the beam energy and charge and between beam energy and duration. Negative correlation between energy and charge has been observed also with the colliding pulse injection scheme [32] and in simulations of downramp injection [27].

Figure 12 reports the rms energy spread of the beam. In all our simulations, it is lower than 15 MeV, increasing with K as the beam charge and duration.

For convenience, the previously shown beam parameters are reported in table 1.



Figure 10. Longitudinal electric field E_z on laser propogation axis for different values of K, with $L_{\text{down}} = 30 \ \mu\text{m}$, at the time when the laser pulse has reached position $z \approx 260 \ \mu\text{m}$.



Figure 11. Longitudinal electric field E_z on laser propogation axis for different values of L_{down} , with K = 1.3, at the time when the laser pulse has reached position $z \approx 260 \ \mu\text{m}$.

4. Initial distribution of the injected electrons

Further information on the injection process can be inferred looking at the initial positions of the injected electrons. The charge distributions with respect to the initial positions z_0 and x_0 of the injected electrons from four representative simulations (corresponding to K = 1.3, 1.5 and $L_{\text{down}} = 20$, 50 μ m) are shown in figure 13. The average value and rms spread of such distributions are reported in table 2.

As can be seen from figure 13 (left panel), the injection starts from the density transition peak ($z_{tp} = 105 \ \mu$ m) and ends some microns before the end of the downramp. The two charge distributions for $L_{down} = 20 \ \mu$ m are very similar longitudinally, except for a different peak due to the higher number of injected electrons in the case with K = 1.5. Their longitudinal rms spread σ_{z_0} is essentially the same, while their



Figure 12. Variation of the beam rms energy spread with the density transition height *K* and downramp length L_{down} . The reported energy spread is evaluated $\approx 900 \ \mu\text{m}$ after the density transition peak. Each point represents the result of one simulation.

longitudinal average value z_0 is smaller by 0.5 μ m for K = 1.5. In the case of longer downramps, the longitudinal initial distribution of the injected electrons is centered further from the density peak, since the enlarging bubble traps a larger fraction of electron as the laser propagates in the downramp, and it is slightly more spread for a higher density transition.

Transversely (figure 13, right panel), with a given L_{down} , density transitions with different K yield initial charge distributions of injected electrons that are very similar apart from the peak value: passing from K = 1.3 to K = 1.5 enlarges σ_{x_0} only by 4%. Instead, a greater enlargement is obtained keeping fixed K, but decreasing L_{down} : passing from 50 μ m to 20 μ m enlarges σ_{x_0} transverse by 11%. Intuitively, a greater difference between the bubble transverse size after and before the density transition triggers injection in a region transversely broader.

It is interesting to compare the cases with K = 1.3, $L_{\text{down}} = 20 \ \mu\text{m}$ and K = 1.5, $L_{\text{down}} = 50 \ \mu\text{m}$, both yielding beams with ≈ 40 pC. Being the total amount of charge the same, a similar beamloading (see figure 14) is obtained and thus an energy with a difference only equal to 4% after ≈ 900 μ m. But, although their initial electron distribution is transversely similar in peak, extent and qualitative shape, their longitudinal distributions are visibly different, resulting in a difference in duration higher at least by 40%. This leads to different absolute energy spreads, 9 MeV and 15 MeV, respectively. Analogous similarities and differences in the beam quality parameters can be found in other cases with nearly equally charged beams shown in the previous section, e.g. K = 1.2, $L_{down} = 10 \ \mu m$ and K = 1.3, $L_{down} = 30 \ \mu m$. From this qualitative consideration it can be inferred that among bunches with a given charge obtained through this injection scheme, those produced with a shorter density transition tend to have a higher quality in energy spread and duration.

5. Conclusions

In this paper, we showed the results of a series of quasicylindrical PIC LWFA simulations of electron beams produced through density transition injection, feasible e.g. with a blade inserted in a gas jet. Our analysis highlights the physical mechanisms of the density transition injection that influences the final beam characteristics. We discussed how the beam quality parameters after ≈ 1 mm from the density transition vary with the density transition height and downramp length. We found that longer and lower density transitions, due to a lower number of electrons available for injection and to the lower rate of wake bubble size increase, yield beams with

Table 1. Variation of the beam charge Q, duration $2\sigma_z/c$, transverse normalized emittance $\varepsilon_{n,y} - \varepsilon_{n,x}$, energy E, energy spread ΔE with the density transition height K and downramp length L_{down} . The reported quantities are evaluated $\approx 900 \ \mu\text{m}$ after the density transition peak.

K	$L_{\rm down}~(\mu {\rm m})$	Q	$2\sigma_z/c$ (fs)	$\varepsilon_{n,y} - \varepsilon_{n,x}$ (mm-mrad)	E (MeV)	ΔE (rms, MeV)
	10	28.1	2.44	1.2-1.5	150	7.7
	20	17.4	2.32	1.1 - 1.0	160	8.3
1.2	30	7.6	2.03	0.6 - 0.6	169	9.8
	40	2.6	1.77	0.6 - 0.4	176	10.0
	50	0.9	1.68	0.6 - 0.4	182	10.4
1.3	10	48.4	3.94	1.4-1.4	128	10.5
	20	39.0	3.69	1.4 - 1.4	138	9.1
	30	26.1	3.52	1.2 - 1.1	150	10.3
	40	16.2	3.17	1.0 - 1.1	160	12.8
	50	10.6	2.30	0.7 - 0.6	170	13.8
	10	79.1	6.83	1.3-1.3	105	13.3
1.5	20	75.5	6.49	1.2 - 1.2	114	12.4
	30	58.7	6.03	1.2 - 1.2	124	11.8
	40	46.3	6.77	1.2 - 1.2	136	14.1
	50	38.0	5.29	1.1-1.1	144	15.1



Figure 13. Left panel: Charge distribution $\frac{dQ}{dz_0}$ with respect to the initial longitudinal coordinate z_0 of the injected electrons in the simulations corresponding to K = 1.3, 1.5 and $L_{\text{down}} = 20$, 50 μ m. Right panel: Charge distribution $\frac{dQ}{dx_0}$ with respect to the initial transverse coordinate x_0 of the injected electrons in the simulations corresponding to K = 1.3, 1.5 and $L_{\text{down}} = 20$, 50 μ m. Right panel: Charge distribution $\frac{dQ}{dx_0}$ with respect to the initial transverse coordinate x_0 of the injected electrons in the simulations corresponding to K = 1.3, 1.5 and $L_{\text{down}} = 20$, 50 μ m.

Table 2. Average \bar{z}_0 and rms spread σ_{z_0} , σ_{x_0} of the charge distribution with respect to the initial coordinates z_0 and x_0 of the injected electrons in the simulations corresponding to K = 1.3, 1.5 and $L_{\text{down}} = 20$, 50 μ m. The reported charge distributions have been evaluated considering the initial positions of the particles; to highlight this, the axes have been relabeled as z_0 , x_0 .

K	$L_{\rm down}~(\mu {\rm m})$	\bar{z}_0 (μ m)	$\sigma_{z_0}~(\mu { m m})$	σ_{x_0} (µm)
1.5	20	110.8	2.9	3.0
1.3	20	111.3	2.9	2.9
1.5	50	132.3	7.9	2.7
1.3	50	133.6	7.2	2.6

lower charge, which causes a lower beamloading and thus a negative correlation between the final beam charge and energy. We have also shown how the injection process influences the bunch length, tightly related to the evolution of the bubble size and thus to the density transition profile parameters. With the laser pulse used for our analysis, beams with length shorter than 10 fs, energy higher than 100 MeV reached in less than 1 mm, emittance lower than 1.5 mm-mrad and charge variable up to 80 pC can be envisaged in principle.

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Figure 14. Longitudinal electric field E_z on axis at the time when the laser pulse has reached position $z \approx 260 \ \mu\text{m}$ in the simulations with K = 1.3, $L_{\text{down}} = 20 \ \mu\text{m}$ and K = 1.5, $L_{\text{down}} = 50 \ \mu\text{m}$. In these two simulations, beams with same charge ($\approx 40 \ \text{pC}$) are injected.

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